The Convention was previously published as Miscellaneous No. 29 (1972), Cmnd. 5068



Treaty Series No. 16 (1974)

### Convention

# on International Liability for Damage caused by Space Objects

London, Moscow and Washington, 29 March 1972

[The United Kingdom instruments of ratification were deposited on 9 October 1973 and the Convention entered into force for the United Kingdom on that date]

Presented to Parliament
by the Secretary of State for Foreign and Commonwealth Affairs
by Command of Her Majesty

March 1974

LONDON
HER MAJESTY'S STATIONERY OFFICE
11p net

Cmnd. 5551

## CONVENTION ON INTERNATIONAL LIABILITY FOR DAMAGE CAUSED BY SPACE OBJECTS

The States Parties to this Convention,

Recognising the common interest of all mankind in furthering the exploration and use of outer space for peaceful purposes,

Recalling the Treaty on Principles Governing the Activities of States in the Exploration and Use of Outer Space, including the Moon and Other Celestial Bodies, (1)

Taking into consideration that, notwithstanding the precautionary measures to be taken by States and international intergovernmental organisations involved in the launching of space objects, damage may on occasion be caused by such objects.

Recognising the need to elaborate effective international rules and procedures concerning liability for damage caused by space objects and to ensure, in particular, the prompt payment under the terms of this Convention of a full and equitable measure of compensation to victims of such damage,

Believing that the establishment of such rules and procedures will contribute to the strengthening of international co-operation in the field of the exploration and use of outer space for peaceful purposes,

Have agreed on the following:

#### ARTICLE I

For the purposes of this Convention:

- (a) The term "damage" means loss of life, personal injury or other impairment of health; or loss of or damage to property of States or of persons, natural or juridical, or property of international intergovernmental organisations;
- (b) The term "launching" includes attempted launching;
- (c) The term "launching State" means:
  - (i) a State which launches or procures the launching of a space object;
  - (ii) a State from whose territory or facility a space object is launched;
- (d) The term "space object" includes component parts of a space object as well as its launch vehicle and parts thereof.

#### ARTICLE II

A launching State shall be absolutely liable to pay compensation for damage caused by its space object on the surface of the earth or to aircraft in flight.

#### ARTICLE III

In the event of damage being caused elsewhere than on the surface of the earth to a space object of one launching State or to persons or property on board such a space object by a space object of another launching State, the latter shall be liable only if the damage is due to its fault or the fault of persons for whom it is responsible.

#### ARTICLE IV

- 1. In the event of damage being caused elsewhere than on the surface of the earth to a space object of one launching State or to persons or property on board such a space object by a space object of another launching State, and of damage thereby being caused to a third State or to its natural or juridical persons, the first two States shall be jointly and severally liable to the third State, to the extent indicated by the following:
  - (a) If the damage has been caused to the third State on the surface of the earth or to aircraft in flight, their liability to the third State shall be absolute;
  - (b) If the damage has been caused to a space object of the third State or to persons or property on board that space object elsewhere than on the surface of the earth, their liability to the third State shall be based on the fault of either of the first two States or on the fault of persons for whom either is responsible.
- 2. In all cases of joint and several liability referred to in paragraph 1 of this Article, the burden of compensation for the damage shall be apportioned between the first two States in accordance with the extent to which they were at fault; if the extent of the fault of each of these States cannot be established, the burden of compensation shall be apportioned equally between them. Such apportionment shall be without prejudice to the right of the third State to seek the entire compensation due under this Convention from any or all of the launching States which are jointly and severally liable.

#### ARTICLE V

- 1. Whenever two or more States jointly launch a space object, they shall be jointly and severally liable for any damage caused.
- 2. A launching State which has paid compensation for damage shall have the right to present a claim for indemnification to other participants in the joint launching. The participants in a joint launching may conclude agreements regarding the apportioning among themselves of the financial obligation in respect of which they are jointly and severally liable. Such agreements shall be without prejudice to the right of a State sustaining damage to seek the entire compensation due under this Convention from any or all of the launching States which are jointly and severally liable.
- A State from whose territory or facility a space object is launched shall be regarded as a participant in a joint launching.

#### ARTICLE VI

- 1. Subject to the provisions of paragraph 2 of this Article, exoneration from absolute liability shall be granted to the extent that a launching State establishes that the damage has resulted either wholly or partially from gross negligence or from an act or omission done with intent to cause damage on the part of a claimant State or of natural or juridical persons it represents.
- 2. No exoneration whatever shall be granted in cases where the damage has resulted from activities conducted by a launching State which are not in conformity with international law including, in particular, the Charter of the United Nations(2) and the Treaty on Principles Governing the Activities of States in the Exploration and Use of Outer Space, including the Moon and Other Celestial Bodies.

#### ARTICLE VII

The provisions of this Convention shall not apply to damage caused by a space object of a launching State to:

- (a) nationals of that launching State;
- (b) foreign nationals during such time as they are participating in the operation of that space object from the time of its launching or at any stage thereafter until its descent, or during such time as they are in the immediate vicinity of a planned launching or recovery area as the result of an invitation by that launching State.

#### ARTICLE VIII

- 1. A State which suffers damage, or whose natural or juridical persons suffer damage, may present to a launching State a claim for compensation for such damage.
- 2. If the State of nationality has not presented a claim, another State may, in respect of damage sustained in its territory by any natural or juridical person, present a claim to a launching State.
- 3. If neither the State of nationality nor the State in whose territory the damage was sustained has presented a claim or notified its intention of presenting a claim, another State may, in respect of damage sustained by its permanent residents, present a claim to a launching State.

#### ARTICLE IX

A claim for compensation for damage shall be presented to a launching State through diplomatic channels. If a State does not maintain diplomatic relations with the launching State concerned, it may request another State to present its claim to that launching State or otherwise represent interests under this Convention. It may also present its claim through the Secretary-General of the United Nations, provided the claimant State and the launching State are both Members of the United Nations.

#### ARTICLE X

- 1. A claim for compensation for damage may be presented to a launching State not later than one year following the date of the occurrence of the damage or the identification of the launching State which is liable.
- 2. If, however, a State does not know of the occurrence of the damage or has not been able to identify the launching State which is liable, it may present a claim within one year following the date on which it learned of the aforementioned facts; however, this period shall in no event exceed one year following the date on which the State could reasonably be expected to have learned of the facts through the exercise of due diligence.
- 3. The time-limits specified in paragraphs 1 and 2 of this Article shall apply even if the full extent of the damage may not be known. In this event, however, the claimant State shall be entitled to revise the claim and submit additional documentation after the expiration of such time-limits until one year after the full extent of the damage is known.

#### ARTICLE XI

- 1. Presentation of a claim to a launching State for compensation for damage under this Convention shall not require the prior exhaustion of any local remedies which may be available to a claimant State or to natural or juridical persons it represents.
- 2. Nothing in this Convention shall prevent a State, or natural or juridical persons it might represent, from pursuing a claim in the courts or administrative tribunals or agencies of a launching State. A State shall not, however, be entitled to present a claim under this Convention in respect of the same damage for which a claim is being pursued in the courts or administrative tribunals or agencies of a launching State or under another international agreement which is binding on the States concerned.

#### ARTICLE XII

The compensation which the launching State shall be liable to pay for damage under this Convention shall be determined in accordance with international law and the principles of justice and equity, in order to provide such reparation in respect of the damage as will restore the person, natural or juridical, State or international organisation on whose behalf the claim is presented to the condition which would have existed if the damage had not occurred

#### ARTICLE XIII

Unless the claimant State and the State from which compensation is due under this Convention agree on another form of compensation, the compensation shall be paid in the currency of the claimant State or, if that State so requests, in the currency of the State from which compensation is due.

#### ARTICLE XIV

If no settlement of a claim is arrived at through diplomatic negotiations as provided for in Article IX, within one year from the date on which the claimant State notifies the launching State that it has submitted the documentation of its claim, the parties concerned shall establish a Claims Commission at the request of either party.

#### ARTICLE XV

- 1. The Claims Commission shall be composed of three members: one appointed by the claimant State, one appointed by the launching State and the third member, the Chairman, to be chosen by both parties jointly. Each party shall make its appointment within two months of the request for the establishment of the Claims Commission.
- 2. If no agreement is reached on the choice of the Chairman within four months of the request for the establishment of the Commission, either party may request the Secretary-General of the United Nations to appoint the Chairman within a further period of two months.

#### ARTICLE XVI

- 1. If one of the parties does not make its appointment within the stipulated period, the Chairman shall, at the request of the other party, constitute a single-member Claims Commission.
- 2. Any vacancy which may arise in the Commission for whatever reason shall be filled by the same procedure adopted for the original appointment.
  - 3. The Commission shall determine its own procedure.
- The Commission shall determine the place or places where it shall sit and all other administrative matters.
- 5. Except in the case of decisions and awards by a single-member Commission, all decision and awards of the Commission shall be by majority vote.

#### ARTICLE XVII

No increase in the membership of the Claims Commission shall take place by reason of two or more claimant States or launching States being joined in any one proceeding before the Commission. The claimant States so joined shall collectively appoint one member of the Commission in the same manner and subject to the same conditions as would be the case for a single claimant State. When two or more launching States are so joined, they shall collectively appoint one member of the Commission in the same way. If the claimant States or the launching States do not make the appointment within the stipulated period, the Chairman shall constitute a single member Commission.

#### ARTICLE XVIII

The Claims Commission shall decide the merits of the claim for compensation and determine the amount of compensation payable, if any.

#### ARTICLE XIX

- 1. The Claims Commission shall act in accordance with the provisions of Article XII.
- 2. The decision of the Commission shall be final and binding if the parties have so agreed; otherwise the Commission shall render a final and recommendatory award, which the parties shall consider in good faith. The Commission shall state the reasons for its decision or award.
- 3. The Commission shall give its decision or award as promptly as possible and no later than one year from the date of its establishment, unless an extension of this period is found necessary by the Commission.
- 4. The Commission shall make its decision or award public. It shall deliver a certified copy of its decision or award to each of the parties and to the Secretary-General of the United Nations.

#### ARTICLE XX

The expenses in regard to the Claims Commission shall be borne equally by the parties, unless otherwise decided by the Commission.

#### ARTICLE XXI

If the damage caused by a space object presents a large-scale danger to human life or seriously interferes with the living conditions of the population or the functioning of vital centres, the States Parties, and in particular the launching State, shall examine the possibility of rendering appropriate and appid assistance to the State which has suffered the damage, when it so requests. However, nothing in this Article shall affect the rights or obligations of the States Parties under this Convention.

#### ARTICLE XXII

- 1. In this Convention, with the exception of Articles XXIV to XXVII, references to States shall be deemed to apply to any international intergovernmental organisation which conducts space activities if the organisation declares its acceptance of the rights and obligations provided for in this Convention and if a majority of the States members of the organisation are State Parties to this Convention and to the Treaty on Principles Governing the Activities of States in the Exploration and Use of Outer Space, including the Moon and Other Celestial Bodies.
- States members of any such organisation which are States Parties
  to this Convention shall take all appropriate steps to ensure that the
  organisation makes a declaration in accordance with the preceding
  paragraph.

- 3. If an international intergovernmental organisation is liable for damage by virtue of the provisions of this Convention, that organisation and those of its members which are States Parties to this Convention shall be jointly and severally liable; provided, however, that:
  - (a) any claim for compensation in respect of such damage shall be first presented to the organisation;
  - (b) only where the organisation has not paid, within a period of six months, any sum agreed or determined to be due as compensation for such damage, may the claimant State invoke the liability of the members which are States Parties to this Convention for the payment of that sum.
- 4. Any claim, pursuant to the provisions of this Convention, for compensation in respect of damage caused to an organisation which has made a declaration in accordance with paragraph 1 of this Article shall be presented by a State member of the organisation which is a State Party to this Convention.

#### ARTICLE XXIII

- 1. The provisions of this Convention shall not affect other international agreements in force in so far as relations between the States Parties to such agreements are concerned.
- 2. No provision of this Convention shall prevent States from concluding international agreements reaffirming, supplementing or extending its provisions.

#### ARTICLE XXIV

- 1. This Convention shall be open to all States for signature. Any State which does not sign this Convention before its entry into force in accordance with paragraph 3 of this Article may accede to it at any time.
- 2. This Convention shall be subject to ratification by signatory States. Instruments of ratification and instruments of accession shall be deposited with the Governments of the United Kingdom of Great Britain and Northern Ireland, the Union of Soviet Socialist Republics and the United States of America, which are hereby designated the Depositary Governments.
- 3. This Convention shall enter into force on the deposit of the fifth instrument of ratification.(3)
- 4. For States whose instruments of ratification or accession are deposited subsequent to the entry into force of this Convention, it shall enter into force on the date of the deposit of their instruments of ratification or accession.
- 5. The Depositary Governments shall promptly inform all signatory and acceding States of the date of each signature, the date of deposit of each instrument of ratification of and accession to this Convention, the date of its entry into force and other notices.
- This Convention shall be registered by the Depositary Governments pursuant to Article 102 of the Charter of the United Nations.

#### ARTICLE XXV

Any State Party to this Convention may propose amendments to this Convention. Amendments shall enter into force for each State Party to the Convention accepting the amendments upon their acceptance by a majority of the States Parties to the Convention and thereafter for each remaining State Party on the date of acceptance by it.

#### ARTICLE XXVI

Ten years after the entry into force of this Convention, the question of the review of this Convention shall be included in the provisional agenda of the United Nations General Assembly in order to consider, in the light of past application of the Convention, whether it requires revision. However, at any time after the Convention has been in force for five years, and at the request of one third of the States Parties to the Convention, and with the concurrence of the majority of the States Parties, a conference of the States Parties shall be convened to review this Convention.

#### ARTICLE XXVII

Any State Party to this Convention may give notice of its withdrawal from the Convention one year after its entry into force by written notification to the Depositary Governments. Such withdrawal shall take effect one year from the date of receipt of this notification.

#### ARTICLE XXVIII

This Convention, of which the English, Russian, French, Spanish and Chinese texts are equally authentic,(\*) shall be deposited in the archives of the Depositary Governments. Duly certified copies of this Convention shall be transmitted by the Depositary Governments to the Governments of the signatory and acceding States.

IN WITNESS WHEREOF the undersigned, duly authorised thereto, have signed this Convention.

Done in triplicate, at the cities of London, Moscow and Washington, this twenty-ninth day of March, one thousand nine hundred and seventy-two.

<sup>(9)</sup> Texts in the Russian, French, Spanish and Chinese languages will be published in the United Nations Treaty Series, available through Agency Section, Her Majesty's Stationery Office, PO Box 569, London, SEI 9NY. Tel. 01-928 6977, Ext. 410.

#### SIGNATURES, RATIFICATIONS AND ACCESSIONS

	Date of signature of original deposited with the Government of			Date of deposit of Ratification or Accession (A) with the Government of		
	U.K.	U.S.S.R.	U.S.A.	U.K.	U.S.S.R.	U.S.A.
Algeria	20.4.72 5.4.72 30.5.72 29.3.72	29.3.72 30.5.72 29.3.72 13.7.72	29.3.72 30.5.72 29.3.72 29.3.72 13.7.72	9.3.73	9,3,73	9.3.73
Bulgaria Burundi Byelorussian Soviet Socialist Republic	29.3.72	29.3.72	29.3.72 29.3.72	16.5.72	14.5.73	14.6.72
Central African		25.52	27.4.72			
Republic China, Republic of (1) Colombia			27.4.72 29.3.72 29.3.72			9.2.73
Costa Rica Cyprus Czechoslovakia Dahomey	28.4.72 29.3.72	5.5.72 29.3.72	29.3.72 12.5.72 29.3.72 29.3.72	15.5.73	23.5.73	23.5.73
Denmark Dominican Republic Ecuador	19.4.72 6.6.72	19.4.72	19.4.72 26.4.72 25.4.72			23.2.73 17.8.72
Egypt, Arab Republic of El Salvador Fiji Finland The Gambia	29.3.72 8.8.72	29.3.72 2.6.72	29.3.72 29.3.72 2.6.72	4.5.73(A)	14.5.73(A)	4.4.73(A)
Germany, Democratic Republic of Ghana	6.6.72	29.3.72 29.3.72 29.3.72	31.3.72	: 	30.8.72	
Greece Guatemala Haiti			12.4.72(²) 29.3.72 29.3.72			
Honduras Hungary Iceland Iran	29.3.72 29.3.72 29.3.72	29.3.72 29.3.72 29.3.72	29.3.72 29.3.72 29.3.72 29.3.72	27.12.72	27.12.72	27.12.72
Iraq Ireland, Republic of Italy	29.3.72 14.4.72	29.3.72	29.3.72 29.3.72	29.6.72(1)	4.10.72(A)(3)	29.6.72(*)
Jordan Khmer Republic Korea, Republic of Kuwait Laos	29.3.72( <sup>5</sup> ) 20.6.72 29.3.72	9.6.72 31.3.72	25.5.72 29.3.72 29.3.72(5) 7.6.72 29.3.72	30.10.72(°) 25.4.73	23.11.72 20.3.73	15.11.72(6) 22.3.73
Lebanon Luxembourg Mali	29.3.72 27.4.72	21.4.72 10.5.72 4.4.72	29.3.72 25.4.72 10.4.72			9.6.72
Mexico Mongolia Morocco	29.3.72 29.3.72 4.4.72	29.3.72 29.3.72 5.4.72	29.3.72 10.4.72 29.3.72	14.9.72	20.10.72	5.9.72

<sup>(1)</sup> Not recognised by the United Kingdom. (2-6) For declarations and statement see page 12.

	Date of signature of original deposited with the Government of			Date of deposit of Ratification or Accession (A) with the Government of		
	U.K.	U.S.S.R.	U.S.A.	U.K.	U.S.S.R.	U.S.A.
Nepal	29.3.72	29.3.72	19.6.72	1		-
New Zealand	19.6.72	22.6.72	19.6.72		l .	
Nicaragua	11.4.72		29.3.72		!	
Niger		i	24.5.72		1	1.9.72
Norway	29.3.72	29.3.72	29.3.72			
Oman	23.6.72	1		İ		
Pakistan	6.7.72	7,7.72	10.8.72	10.4.73	29.5.73	4.4.73
Panama	29.3.72	1	1			
Peru		1	10.4.72			
Philippines			22.8.72		Į.	
Poland	29.3.72	29.3.72	29.3.72	25.1.73	25.1.73	25.1.73
Oatar	27.51.2	23.51.2	2515172	11.1.74(A)		25.1.75
Romania	29.3.72	29.3.72	29.3.72	11.1.74(71)		
2 1	27.5.72	29.3.72	29.3.72	1	1	
		25.5.72	14.4.72		1	i
		14.7.72	17.7.72			
o'	19.7.72	19.7.72	19.7.72			
	19.7.72	19.7.72	29.3.72			
		1	29.3.72			
Spain		ì	29.3.12	2 5 72/43	0.4.72(4)	9.4.73(A)
Sri Lanka	29.3.72	29.3.72	29.3.72	3.5.73(A) 22.1.74	9.4.73(A)	9.4.73(A)
Switzerland	29.3.72	29.3.72		1 22.1.74		
Tanzania			31.5.72			
Togo		0.400	10.4.72			40.550
Tunisia	6.4.72	3.4.72	29.3.72	6.6.73	30.5.73	18.5.73
Ukrainian Soviet		1	ļ		16.10.73	
Socialist Republics		29.3.72	ļ.	i :		
Union of Soviet						
Socialist Republics	29.3.72	29.3.72	29.3.72	9.10.73	9.10.73	9.10.73
United Kingdom(7)	29.3.72	29.3.72	29.3.72	9.10.73(8)	9.10.73(8)	9.10.73(8)
United States of				]		
America	29.3.72	29.3.72	29.3.72	9.10.73	9.10.73	9.10.73
Venezuela		i	29.3.72			İ
Zaire		4.4.72	29.3.72	ì		i
Zambia		4.4.72	47.3.14			20.8.73(A)

<sup>(7)</sup> For statement see page 12.
(8) Ratification by the United Kingdom is in respect of the United Kingdom of Great Britain and Northern Ireland, the Associated States (Antigua, Dominica, Grenada, St. Christopher-Nevis-Anguilla, St. Lucia and St. Vincent) and Territories under the territorial sovereignty of the United Kingdom, as well as the State of Brunei and the British Solomon Islands Protectorate.

#### DECLARATIONS AND STATEMENTS

#### (2) GREECE

On signing the Convention the Government of Greece made the following statement:

"Greece accepts the decisions of the Committee of Reparations—provided by the Convention—as mandatory only on a reciprocal basis."

#### (3) IRAO

#### Translation

On acceding to the Convention the Government of the Republic of Iraq declared:

The accession of the Republic of Iraq to the above-mentioned Convention in no dependence signifies recognition of Israel and will not lead to the entry into any form of relations with it.

#### (\*) REPUBLIC OF IRELAND

On ratifying the Convention the Government of the Republic of Ireland made the following declaration:

"Having regard to the terms of operative paragraph 3 of resolution 2777 (XXVI) adopted by the General Assembly of the United Nations on 29th November, 1971, the Government of Ireland hereby declare that Ireland will recognise as binding, in relation to any other State accepting the same obligation, the decision of a Claims Commission concerning any dispute to which Ireland may become a party under the Convention on International Liability for Damage Caused by Space Objects, which was opened for signature in London, Moscow and Washington on 29th March, 1972."

#### (5) KOREA

On signing the Convention the Government of the Republic of Korea made the following declaration:

"The signing by the Government of the Republic of Korea of the present Convention does not in any way mean or imply the recognition of any territory or régime which has not been recognised by the Government of the Republic of Korea."

#### (6) KUWAIT

On depositing their instrument of ratification the Government of Kuwait made the following declaration:

"In ratifying the Convention on International Liability for Damage Caused by Space Objects, 1972, the Government of the State of Kuwait takes the view that its ratification of the said Convention does not in any way imply its recognition of the State of Israel, nor does it oblige it to apply the provisions of the aforementioned Convention in respect of the said Country."

#### (\*) UNITED KINGDOM

In a communication dated 17 April 1972 sent to all States recognised by the United Kingdom, Her Majesty's Government recalled their view that if a régime is not recognised as the Government of a State, neither signature nor the deposit of any instrument by it, nor notification of any of those acts, will bring about recognition of that régime by any other State.

Printed in England by Her Majesty's Stationery Office

20902-196 3146382 Dd 253288 K14 3/74

ISBN 0 10 155510 5