

The Convention was
previously published
as Miscellaneous
No. 22 (1972)
Cmd. 4987

INTELLECTUAL
PROPERTY



Treaty Series No. 41 (1973)

Convention
for the Protection of Producers
of Phonograms against unauthorized
Duplication of their Phonograms

Geneva, 29 October 1971

[The United Kingdom instrument of ratification was deposited on 5 December 1972, and
the Convention entered into force on 18 April 1973]

*Presented to Parliament
by the Secretary of State for Foreign and Commonwealth Affairs
by Command of Her Majesty
April 1973*

LONDON
HER MAJESTY'S STATIONERY OFFICE

8p net

Cmd. 5275

**CONVENTION
FOR THE PROTECTION OF PRODUCERS OF PHONOGRAMS
AGAINST UNAUTHORIZED DUPLICATION
OF THEIR PHONOGRAMS**

The Contracting States,

concerned at the widespread and increasing unauthorized duplication of phonograms and the damage this is occasioning to the interests of authors, performers and producers of phonograms;

convinced that the protection of producers of phonograms against such acts will also benefit the performers whose performances, and the authors whose works, are recorded on the said phonograms;

recognizing the value of the work undertaken in this field by the United Nations Educational, Scientific and Cultural Organization and the World Intellectual Property Organization;

anxious not to impair in any way international agreements already in force and in particular in no way to prejudice wider acceptance of the Rome Convention of October 26, 1961,⁽¹⁾ which affords protection to performers and to broadcasting organizations as well as to producers of phonograms;

have agreed as follows:

ARTICLE 1

For the purposes of this Convention:

- (a) "phonogram" means any exclusively aural fixation of sounds of a performance or of other sounds;
- (b) "producer of phonograms" means the person who, or the legal entity which, first fixes the sounds of a performance or other sounds;
- (c) "duplicate" means an article which contains sounds taken directly or indirectly from a phonogram and which embodies all or a substantial part of the sounds fixed in that phonogram;
- (d) "distribution to the public" means any act by which duplicates of a phonogram are offered, directly or indirectly, to the general public or any section thereof.

ARTICLE 2

Each Contracting State shall protect producers of phonograms who are nationals of other Contracting States against the making of duplicates without the consent of the producer and against the importation of such duplicates, provided that any such making or importation is for the purpose of distribution to the public, and against the distribution of such duplicates to the public.

⁽¹⁾ Treaty Series No. 38 (1964), Cmnd. 2425.

ARTICLE 3

The means by which this Convention is implemented shall be a matter for the domestic law of each Contracting State and shall include one or more of the following: protection by means of the grant of a copyright or other specific right; protection by means of the law relating to unfair competition; protection by means of penal sanctions.

ARTICLE 4

The duration of the protection given shall be a matter for the domestic law of each Contracting State. However, if the domestic law prescribes a specific duration for the protection, that duration shall not be less than twenty years from the end either of the year in which the sounds embodied in the phonogram were first fixed or of the year in which the phonogram was first published.

ARTICLE 5

If, as a condition of protecting the producers of phonograms, a Contracting State, under its domestic law, requires compliance with formalities, these shall be considered as fulfilled if all the authorized duplicates of the phonogram distributed to the public or their containers bear a notice consisting of the symbol (P), accompanied by the year date of the first publication, placed in such manner as to give reasonable notice of claim of protection; and, if the duplicates or their containers do not identify the producer, his successor in title or the exclusive licensee (by carrying his name, trademark or other appropriate designation), the notice shall also include the name of the producer, his successor in title or the exclusive licensee.

ARTICLE 6

Any Contracting State which affords protection by means of copyright or other specific right, or protection by means of penal sanctions, may in its domestic law provide, with regard to the protection of producers of phonograms, the same kinds of limitations as are permitted with respect to the protection of authors of literary and artistic works. However, no compulsory licenses may be permitted unless all of the following conditions are met:

- (a) the duplication is for use solely for the purpose of teaching or scientific research;
- (b) the license shall be valid for duplication only within the territory of the Contracting State whose competent authority has granted the license and shall not extend to the export of duplicates;
- (c) the duplication made under the license gives rise to an equitable remuneration fixed by the said authority taking into account, *inter alia*, the number of duplicates which will be made.

ARTICLE 7

(1) This Convention shall in no way be interpreted to limit or prejudice the protection otherwise secured to authors, to performers, to producers of phonograms or to broadcasting organizations under any domestic law or international agreement.

(2) It shall be a matter for the domestic law of each Contracting State to determine the extent, if any, to which performers whose performances are fixed in a phonogram are entitled to enjoy protection and the conditions for enjoying any such protection.

(3) No Contracting State shall be required to apply the provisions of this Convention to any phonogram fixed before this Convention entered into force with respect to that State.

(4) Any Contracting State which, on October 29, 1971, affords protection to producers of phonograms solely on the basis of the place of first fixation may, by a notification deposited with the Director General of the World Intellectual Property Organization, declare that it will apply this criterion instead of the criterion of the nationality of the producer.

ARTICLE 8

(1) The International Bureau of the World Intellectual Property Organization shall assemble and publish information concerning the protection of phonograms. Each Contracting State shall promptly communicate to the International Bureau all new laws and official texts on this subject.

(2) The International Bureau shall, on request, furnish information to any Contracting State on matters concerning this Convention, and shall conduct studies and provide services designed to facilitate the protection provided for therein.

(3) The International Bureau shall exercise the functions enumerated in paragraphs (1) and (2) above in co-operation, for matters within their respective competence, with the United Nations Educational, Scientific and Cultural Organization and the International Labour Organization.

ARTICLE 9

(1) This Convention shall be deposited with the Secretary-General of the United Nations. It shall be open until April 30, 1972, for signature by any State that is a member of the United Nations, any of the Specialized Agencies brought into relationship with the United Nations, or the International Atomic Energy Agency, or is a party to the Statute of the International Court of Justice.⁽²⁾

(2) This Convention shall be subject to ratification or acceptance by the signatory States. It shall be open for accession by any State referred to in paragraph (1) of this Article.

(3) Instruments of ratification, acceptance or accession shall be deposited with the Secretary-General of the United Nations.

⁽²⁾ Treaty Series No. 67 (1946), Cmd. 7015.

(4) It is understood that, at the time a State becomes bound by this Convention, it will be in a position in accordance with its domestic law to give effect to the provisions of the Convention.

ARTICLE 10

No reservations to this Convention are permitted.

ARTICLE 11

(1) This Convention shall enter into force three months after deposit of the fifth instrument of ratification, acceptance or accession.⁽³⁾

(2) For each State ratifying, accepting or acceding to this Convention after the deposit of the fifth instrument of ratification, acceptance or accession, the Convention shall enter into force three months after the date on which the Director General of the World Intellectual Property Organization informs the States, in accordance with Article 13, paragraph (4), of the deposit of its instrument.

(3) Any State may, at the time of ratification, acceptance or accession or at any later date, declare by notification addressed to the Secretary-General of the United Nations that this Convention shall apply to all or any one of the territories for whose international affairs it is responsible. This notification will take effect three months after the date on which it is received.

(4) However, the preceding paragraph may in no way be understood as implying the recognition or tacit acceptance by a Contracting State of the factual situation concerning a territory to which this Convention is made applicable by another Contracting State by virtue of the said paragraph.

ARTICLE 12

(1) Any Contracting State may denounce this Convention, on its own behalf or on behalf of any of the territories referred to in Article 11, paragraph (3), by written notification addressed to the Secretary-General of the United Nations.

(2) Denunciation shall take effect twelve months after the date on which the Secretary-General of the United Nations has received the notification.

ARTICLE 13

(1) This Convention shall be signed in a single copy in English, French, Russian and Spanish, the four texts being equally authentic.

(2) Official texts shall be established by the Director General of the World Intellectual Property Organization, after consultation with the interested Governments, in the Arabic, Dutch, German, Italian and Portuguese languages.

(3) The Secretary-General of the United Nations shall notify the Director General of the World Intellectual Property Organization, the

⁽³⁾ The Convention entered into force on 18 April, 1973.

Director-General of the United Nations Educational, Scientific and Cultural Organization and the Director-General of the International Labour Office of:

- (a) signatures to this Convention;
- (b) the deposit of instruments of ratification, acceptance or accession;
- (c) the date of entry into force of this Convention;
- (d) any declaration notified pursuant to Article 11, paragraph (3);
- (e) the receipt of notifications of denunciation.

(4) The Director General of the World Intellectual Property Organization shall inform the States referred to in Article 9, paragraph (1), of the notifications received pursuant to the preceding paragraph and of any declarations made under Article 7, paragraph (4). He shall also notify the Director-General of the United Nations Educational, Scientific and Cultural Organization and the Director-General of the International Labour Office of such declarations.

(5) The Secretary-General of the United Nations shall transmit two certified copies of this Convention to the States referred to in Article 9, paragraph (1).

IN WITNESS WHEREOF, the undersigned, being duly authorized, have signed this Convention.

DONE at Geneva, this twenty-ninth day of October, 1971.

SIGNATURES AND RATIFICATIONS

<i>State</i>	<i>Date of signature</i>	<i>Date of deposit of ratification</i>
Austria	28 April 1972	
Brazil	29 October 1971	
Canada	29 October 1971	
Colombia	29 October 1971	
Denmark	29 October 1971	
Ecuador	29 October 1971	
Finland	21 April 1972	18 December 1972*
France	29 October 1971	12 September 1972
Germany, Federal Republic of	29 October 1971	
Holy See	29 October 1971	
India	29 October 1971	
Iran	29 October 1971	
Israel	29 October 1971	
Italy	29 October 1971	
Japan	21 April 1972	
Kenya	4 April 1972	
Liechtenstein	28 April 1972	
Luxembourg	29 October 1971	
Mexico	29 October 1971	
Monaco	29 October 1971	
Nicaragua	29 October 1971	
Norway	28 April 1972	
Panama	28 April 1972	
Philippines	29 April 1972	
Spain	29 October 1971	
Sweden	29 October 1971	18 January 1973*
Switzerland	29 October 1971	
United Kingdom	29 October 1971	5 December 1972
United States of America ...	29 October 1971	
Uruguay	29 October 1971	
Yugoslavia	29 October 1971	

ACCESSION

	<i>Date of deposit</i>
Fiji	12 June 1972

* With declaration, in accordance with Article 7(4), that their Government will apply the criterion according to which it affords protection to producers of phonograms solely on the basis of the place of first fixation instead of the criterion of the nationality of the producer.